



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MICHAEL O'SHEA ET AL.)
Serial No.: 10/748,763)
Filed: DECEMBER 30, 2003)
Confirmation No.: 2711)
Title: RF-BASED ELECTRONIC SYSTEM AND METHOD FOR)
AUTOMATIC CROSS-MARKETING PROMOTIONAL OFFERS)
AND CHECK-OUTS)

Group Art Unit: 3621
Examiner: Not yet assigned.
Our Account No.: 04-1403
Customer No. 22827

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The following is an Information Disclosure Statement for the captioned ~~patent~~ application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b.[X] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
2 item(s)
- c.[] For each non-English language item listed, pursuant to Rule ~~98~~(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

[] Such explanation is provided in the Search Report from a ~~corresponding~~ application enclosed herewith along with any enclosed translation into English.

2.[X] This Information Disclosure Statement is being filed [~~CHECK ONE~~]:

- a.[X] WITHIN THREE MONTHS of the application filing date, ~~national~~ stage date of entry, or along with or after a request for continued examination, OR BEFORE the ~~mailing~~ date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule ~~97~~(b) NO filing fee or Rule 97(c) certificate is required.
- b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [~~CHECK ONE~~]:
- i.[] Certification per Rule 97(e); OR
- ii[] Filing Fee per Rule 17(p)\$180.00
- c.[] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [~~CHECK ONE~~]:

- a.[] That each item of information contained in this Information ~~Disclosure~~ Statement was first cited in a communication from a foreign patent office in a counterpart ~~foreign~~ application not more than three months prior to the filing of this statement; OR

- b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____ Signature: _____
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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

- 5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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Date: NOVEMBER 23, 2004

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DM-10/2003
Sheet 1 of 1

(Rev. 5/92) Information Disclosure Statement List By Applicants Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-731 (19567)	Serial Number 10/748,763
	Applicant MICHAEL O'SHEA ET AL.	
	Filing Date: 12/30/03 Confirmation No.: 2711	Group Art Unit: 3621

- NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"
- (1) This item is cumulative, per Rule 98(c)
 - (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
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 - (3) Both reasons (1) and (2) apply
 - (4) No legible complete copy is possessed, in custody of controlled, or readily available
 - (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
	EGGERS ET AL.	6	6	9	4	1	7	7	2/17/04	5
	EVANS ET AL.	6	4	9	7	6	5	6	12/24/02	5

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION
										YES NO N/A

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
EXAMINER	DATE CONSIDERED	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		